

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference WO 3555-dV	FOR FURTHER ACTION see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. PCT/EP 00/13394	International filing date (day/month/year) 18/12/2000	(Earliest) Priority Date (day/month/year) 22/12/1999
Applicant IRDETO ACCESS B.V. et al.		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.



It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.



the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing:



contained in the international application in written form.



filed together with the international application in computer readable form.



furnished subsequently to this Authority in written form.



furnished subsequently to this Authority in computer readable form.



the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.



the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,



the text is approved as submitted by the applicant.



the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,



the text is approved as submitted by the applicant.



the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

2



as suggested by the applicant.



None of the figures.



because the applicant failed to suggest a figure.



because this figure better characterizes the invention.

INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP 00/13394

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H04N7/167 H04N7/16

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 19822 A (MICROSOFT CORP) 22 April 1999 (1999-04-22) page 15, line 22 -page 17, line 19; figure 5	6,7
A	EP 0 822 720 A (THOMSON MULTIMEDIA SA) 4 February 1998 (1998-02-04) column 6, line 51 -column 9, line 15; figures 3B-5	1,4,6

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *G* document member of the same patent family

Date of the actual completion of the international search

23 July 2001

Date of mailing of the international search report

27/07/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Pantelakis, P

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	"FUNCTIONAL MODEL OF A CONDITIONAL ACCESS SYSTEM" EBU REVIEW- TECHNICAL, BE, EUROPEAN BROADCASTING UNION. BRUSSELS, no. 266, 21 December 1995 (1995-12-21), pages 64-77, XP000559450 ISSN: 0251-0936 page 67, right-hand column, line 24 -page 70, right-hand column, line 8 ---	1,4,6
A	VAN SCHOONEVELD D: "Standardization of conditional access systems for digital pay television" PHILIPS JOURNAL OF RESEARCH, NL, ELSEVIER, AMSTERDAM, vol. 50, no. 1, 1996, pages 217-225, XP004008213 ISSN: 0165-5817 page 218, line 11 -page 219, line 20 -----	1,4,6

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 00/13394

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
WO 9919822	A	22-04-1999	EP	1031206 A	30-08-2000
EP 0822720	A	04-02-1998	FR	2751817 A	30-01-1998
			CN	1175142 A	04-03-1998
			JP	10098462 A	14-04-1998
			US	6091818 A	18-07-2000

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
28 June 2001 (28.06.2001)

PCT

(10) International Publication Number
WO 01/47271 A2

(51) International Patent Classification⁷: H04N 7/167, 7/16

(21) International Application Number: PCT/EP00/13394

(22) International Filing Date:
18 December 2000 (18.12.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
99204481.8 22 December 1999 (22.12.1999) EP

(71) Applicant (for all designated States except US): **IRDETO ACCESS B.V.** [NL/NL]; Jupiterstraat 42, NL-2132 HD Hoofddorp (NL).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **WAJS, Andrew, Augustine** [GB/NL]; Schotersingel 93, NL-2023 AA Haarlem (NL). **FRANSDONK, Robert** [NL/NL]; Franz Leharlaan 134, NL-2102 GW Heemstede (NL).

(74) Agent: **DE VRIES, Johannes, Hendrik, Fokke**; De Vries & Mëtman B.V., Overschiestraat 180, NL-1062 XK Amsterdam (NL).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

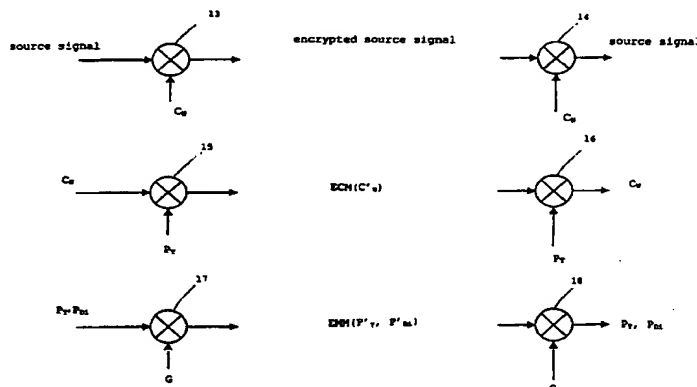
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

— Without international search report and to be republished upon receipt of that report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD FOR OPERATING A CONDITIONAL ACCESS SYSTEM FOR BROADCAST APPLICATIONS



(57) Abstract: In a method for operating a conditional access system for broadcast applications, the conditional access system comprising a number of subscribers and each subscriber having a terminal including a conditional access module and a secure device for storing entitlements, a source signal is encrypted using a first key (C_w). The encrypted source signal is broadcasted for receipt by the terminals, wherein entitlement control messages (ECM's) are sent to the secure devices, the ECM's comprising the first keys (C_w) encrypted using a service key (P_r). Entitlement management messages (EMM's) are sent to the secure devices providing the service key (P_r) required to decrypt encrypted first keys (C_w). A cracked secure device which is used in an unauthorised manner is traced by sending different keys required to obtain the first keys to different terminals or groups of terminals and monitoring the key information provided by a pirate. To this end search EMM's are sent to at least a part of the terminals, the search EMM's providing at least the service key (P_r) and a dummy key (P_{D1} or P_{D2}). At least the search EMM's comprise identifiers identifying the keys (P_r and P_{D1} or P_{D2}), wherein first search EMM's with the keys (P_r and P_{D1}) are sent to a first part of the terminals and second search EMM's with the keys (P_r and P_{D2}) are sent to a second part of the terminals. An ECM identifying the service key (P_r) to be used to decrypt the encrypted first key (C_w), is sent to all secure devices just before the first key (C_w) is needed to decrypt the source signal.

WO 01/47271 A2

Method for operating a conditional access system for broadcast applications

The invention relates to a method for operating a conditional access system for broadcast applications, said conditional access system comprising a number of subscribers, each subscriber having a terminal including a conditional access module and a secure device for storing entitlements, wherein a source signal is encrypted using a first key (C_W), said first key (C_W) being changed at a high rate, said encrypted source signal being broadcasted for receipt by the terminals, wherein entitlement control messages (ECM's) are sent to the secure devices, said ECM's comprising the first keys (C_W) encrypted using a service key (P_T), wherein entitlement management messages (EMM's) are sent to the secure device providing the service key (P_T) required to decrypt encrypted first keys (C_W), wherein a cracked secure device which is used in an unauthorised manner is traced by sending different keys required to obtain the first keys to different terminals or groups of terminals and monitoring the key information provided by a pirate.

Conditional access systems for broadcast applications are used for example in pay television operations wherein one has to subscribe to be entitled to watch a channel, a service or an event. In view of the high numbers of subscribers and thereby high numbers of secure devices distributed among the subscribers, the secure devices are open to attack by unauthorised persons trying to extract the unique key of a secure device. The secure devices are generally provided in the form of smart cards. If an unauthorised person or pirate succeeds to extract the unique key, the smart card is cracked and the pirate is able to distribute global keys over the internet, for example the first key or service key, to set up a pirate subscriber network.

When the service provider establishes that the conditional access system has been hacked, it is necessary to trace the cracked smart card or smart cards. A known method to trace a cracked smart card is to perform a so-called binary search on the base of smart cards which have been issued. According to this known method, a false key is published to half the smart cards and a true key to the other half. The pirate will publish either the false or true key on the internet and from the key published by the pirate, it is possible to narrow down to which half of the base the cracked smart card belongs. In a next step again a false key is published to one half of this located half of the base of smart cards and a true key to the other half of the base and again it can be deduced from the key published by the pirate in which half the cracked smart card is located. By repeating these steps, the cracked smart card can be located and switched off. A disadvantage of such a binary search is that legal subscribers will continuously see blacked out screens during the time the search is performed. If such search activities are repeatedly necessary and continue for long periods, this will lead to subscriber dissatisfaction.

The invention aims to provide a method of the above-mentioned type wherein causing blacked out screens at legal subscribers is restricted as much as possible or even completely avoided.

To this end the method of the invention is characterized in that search EMM's are sent to at least a part of the terminals, said search EMM's providing at least the service key (P_T) and a dummy key (P_{D1} or P_{D2}), at least the search EMM's comprising identifiers identifying the keys (P_T and P_{D1} or P_{D2}), wherein first search EMM's with the keys (P_T and P_{D1}) are sent to a first part of the terminals and second search EMM's with the keys (P_T and P_{D2}) are sent to a second part of the terminals, wherein an ECM identifying the service key (P_T) to be used to decrypt the encrypted first key (C_W), is sent to all secure devices just before the first key (C_W) is needed to decrypt the source signal.

In this manner it is obtained that at all legal subscribers, the secure device receives the identification of the service key to be used to decrypt the first key in time before the first key is needed to decrypt the source signal.

5 Therefore there will be no blacked out screens at legal subscribers. However, when the pirate receives the identification of the service key to be used, the pirate has insufficient time to publish the correct key in advance. The delay time between the receipt of the identification of the service
10 key to be used and the encrypted source signal to be decrypted with the first key is too short to distribute the correct key over the internet. Therefore, if the pirate does not publish all keys available to the pirate, the pirate subscribers will have blacked out screens repeatedly depending
15 on the rate of publishing dummy keys. In view of the publishing of all keys available to the pirate, the same type of binary search as in the prior art can be applied to locate the cracked smart card.

According to a further embodiment of the method of
20 the invention, a set of search EMM's is sent to the terminals, each search EMM providing two keys (P_T and P_{D1} , P_T and P_{D2} , ..., P_T and P_{Dn}). In this manner the number of iteration steps in the binary search can be significantly reduced depending on the size of the set of search EMM's.

25 As an alternative embodiment, a set of search EMM's is sent to at least a portion of the terminals, each search EMM of the set comprising a different dummy key (P_D) and each EMM being sent to a different part of the terminals.

In this manner the cracked smart card or smart cards
30 used by the pirate can be located in a few or even only one step so that although a blacked out screen will be seen by the legal subscribers, this will not lead to subscriber dissatisfaction.

In a further alternative embodiment of the method of
35 the invention the encrypted source signal comprises a stream of data packets, wherein successive groups including at least one data packet, are encrypted using successive first

keys ($C_{W1}, C_{W2}, \dots, C_{Wi}, \dots, C_{Wn}$), each data packet having a flag indicating the first key (C_{Wi}) to be used for decrypting the data packet, wherein instead of an ECM identifying the service key (P_T) an ECM identifying a dummy key (P_{D1} or P_{D2}) to be used to decrypt a next encrypted first key (C_{Wi}), is sent to the secure devices of the first and second parts of the terminals, respectively, just before the first key (C_{Wi}) is needed to decrypt the source signal, whereas the data packet is encrypted using the previous first key (C_{Wi-1})

In this manner the pirate is forced to respond to the ECM by transmitting the first key decrypted by using a dummy key, to the pirate decoders. By means of this false key published by the pirate the cracked smart card can be traced. The service provider actually uses the previous first key to scramble the next data packet so that all subscribers can descramble this data packet using the previous first key which is normally still available in the decoder.

The invention will be further explained by reference to the drawings in which an embodiment of a conditional access system for broadcast applications is shown, in which an embodiment of the method of the invention is implemented.

Fig. 1 schematically shows a conditional access system for broadcast applications, in which an embodiment of the method of the invention is implemented.

Fig. 2 schematically shows an example of a key hierarchy for use in the conditional access system of fig. 1.

In the broadcasting application shown, three broadcasters 1-3 are coupled with a multiplexer unit 4 comprising means for scrambling, encoding and compressing broadcast signals provided by the broadcasters 1-3. The thus obtained digital data streams are multiplexed into a digital transport stream, for example in accordance with the MPEG-2 standard. In the embodiment shown this digital transport stream is modulated by way of a modulator 5 before transmission. The operator of the equipment including the multiplexer unit 4 and modulator 5 is responsible for transmitting the signal to the receiving equipment of the public, one television set 6

being shown by way of example. The transmission of the signal may be carried out through one or more telecommunication channels including a satellite link 7, terrestrial link 8 or a cable system 9. One or more of the broadcasters 1-3 may be private broadcasters operating according to the concept of pay television, which implies subscription. This means that people wishing to view programs broadcasted by a particular broadcaster, have to subscribe to such a broadcast, and pay the appropriate fee.

Access to anyone of the broadcast signals provided by the broadcasters 1-3 requires a terminal 10 which for the subscription requiring services includes a conditional access module 11 and a secure device 12, generally provided in the form of a smart card which can be connected to the conditional access module 11. The remaining part of the terminal 10 is known as such and needs not be described in detail.

In the broadcast application of fig. 1, for example broadcaster 1 may be a pay television operator using a conditional access system with a number of subscribers, each subscriber having a terminal 10 with conditional access module 11 and smart card 12. Such a conditional access system may use a key hierarchy, an example of which is schematically shown in fig. 2. The broadcaster side is shown at the left, whereas the subscriber side is shown at the right of fig. 2. As shown the broadcaster scrambles the source signal by means of a scrambler 13, wherein the source signal is scrambled using a first key or control word C_w . In this manner an encrypted source signal is obtained which is multiplexed by the multiplexer 4. At the subscriber's side, the encrypted source signal is descrambled to obtain the clear source signal in a descrambler 14 using the first key C_w . For security reasons, the key C_w is generally changed at the high rate, for example every ten seconds.

The control word or first key C_w is sent to the subscribers in so-called entitlement control messages or ECM's which are scrambled as indicated by reference numeral 15 using a service key P_T . These scrambled ECM's are decrypted at

the subscriber's side using the same service key P_T , as indicated by reference numeral 16. At a higher level of hierarchy the service keys are sent in so-called entitlement management messages or EMM's in a scrambled manner as indicated by reference 17 using a group key G and these scrambled EMM's are descrambled at the subscriber's side using the same group key G as indicated by reference 18. Finally, a group key can be distributed to subscribers using individual smart card keys and/or smart card addresses. It will be understood that this example of key hierarchy is described by way of non-limiting example only.

As a large number of smart cards 12 is distributed among the subscribers of broadcaster 1, these smart cards are open to attack by unauthorised persons or pirates to extract the secret individual key from the smart card. If a pirate succeeds in extracting the individual key, the pirate is able to obtain any of the keys used in the conditional access system in the clear and he can distribute keys over the internet to subscribers of his pirate network.

If the broadcaster 1 notices that one or more of his smart cards 12 have been cracked, he can start a search for the cracked smart card or smart cards in the following manner.

Instead of the usual EMM's distributing service keys P_T , special search EMM's are sent to the terminals 10, wherein a search EMM provides a true service key P_T and a false or dummy key P_{D1} to half of the subscribers and the true service key P_T and a second dummy key P_{D2} to the other half. Usually an EMM comprises an identifier for the service key and in the same manner the search EMM's also comprise identifiers for the keys P_T and P_{D1} or P_{D2} . Of course these search EMM's will be received both by the legal subscribers and by the pirate. A very short time before the service key P_T is to be used to decrypt a control word C_w , an ECM is published including an identifier indicating the key to be used to decrypt the control word C_w , i.e. the true service key P_T .

The advance warning provided by the ECM comprising

the identifier of the service key P_T , is just sufficient to decrypt the control word C_w before the control word is needed to decrypt the encrypted source signal. This means that although the pirate also receives the identifier of the true service key P_T , there is insufficient time for the pirate at the publishing of the ECM to publish the correct key P_T in advance on the internet. If the pirate does not take any further action, the pirate subscribers will have blacked out screens every few minutes or even seconds. This means that the pirate is forced to publish the keys required to decrypt the ECM in advance of the ECM being transmitted. Through publishing either dummy key P_{D1} or P_{D2} , the pirate can be located by consecutive iteration steps as described above.

The method described shows the advantage that there will be no blacked out screens at the legal subscribers, as the smart card 12 at the legal subscribers has sufficient time to use the correct service key to descramble the control word C_w .

In order to restrict the number of iteration steps, a set of search EMM's could be used, wherein each search EMM of the set provides two keys, i.e. the true service key P_T and a dummy key P_{T1} or P_{D2} or ... P_{Dn} . Each EMM of the set is sent to a different group of smart cards, so that the dummy key P_{Di} published immediately indicates the group of smart cards to which the cracked one belongs.

As a further complication to a pirate the following method can be applied, wherein some characteristics of an encrypted source signal are used. Generally the encrypted data stream comprises data packets, wherein each next data packet or group of data packets is scrambled using a next first key C_{wi} . A header flag of the data packet or group of data packets indicates the serial number i of the first key used for scrambling so that the terminal 10 knows which of the first keys provided must be used for descrambling a data packet or group received. According to the invention an ECM is transmitted which indicates that the next key will be one of the dummy keys P_{D1} or P_{D2} . However, the next data packet or group

is scrambled using the previous first key C_{Wi-1} . As the pirate can not distinguish between the different keys and can not predict whether an indication to use a specific key is true or not, the pirate will be forced to publish the key descrambled using the dummy key. Through publishing this descrambled key the pirate can be located by consecutive iteration steps as described above. At the subscribers the header flag of the data packet will cause use of the control word C_{Wi-1} , so that normal operation of the terminal is obtained. This method can be used advantageously in case a pirate has a very fast responding system, which would allow the pirate to publish decrypted first keys only in stead of the dummy key itself.

In an alternative embodiment of the method described, a cracked secure device can be traced by using a type of cryptography, wherein it is possible to generate a set of keys, each key being capable of decrypting the same cryptogram. As an example of such type of cryptography an RSA multiple-key cryptographic algorithm or a secret-sharing algorithm can be used. As the cryptography as such is not a part of the present invention, reference is made to the book Applied Cryptography by Bruce Schneier, in particular chapter 23, for a further explanation of this type of cryptography. For example the EMM's are encrypted using a multiple-key algorithm having a set of keys P_i capable of decrypting the EMM. Depending on the number of keys of the set and the number of terminals, each terminal or each group of terminals is provided with a different key P_i , so that if a pirate rebroadcasts the key, the source, i.e. the cracked secure device, can be traced. It is also possible to apply this special type of cryptography on the source signal, so that in stead of one control word C_w a set of control words C_i is capable of decrypting the encrypted source signal.

The same result can be achieved with a secret-sharing algorithm, wherein one or more shares are required to derive the key required for obtaining the control words. By distributing different shares to different terminals or groups of terminals, the cracked secure device can be traced.

It is noted that the number of keys or shares need not to be large. By varying the grouping structure, i.e. the distribution of the terminals on the different groups, it is possible to trace the cracked secure device by monitoring the sequence of keys or shares which are rebroadcast by the pirate. It is observed that the same method of varying the grouping structure can be used in all above-described embodiments of the invention.

If it is deemed acceptable that legal subscribers will have a very restricted number of blacked out screens during a search for a cracked smart card, the following method could be used. A special set of search EMM's is distributed to all smart cards, wherein each group of subscribers receives a false service key P_f . The pirate will distribute the false service key which identifies the group to which the cracked smart card belongs. Within this group in a further step the cracked smart card can be located by distributing within the group a further set of false keys.

In the embodiments described the conditional access module 11 and the secure device 12 are shown as physically separate devices. It will be understood that the conditional access module and/or the secure device can also be part of the terminal 10 or implemented in the terminal 10 by suitable programming. Therefore, the terms conditional access module 11 and secure device 12 as used in the specification and claims are not restricted to physically separate parts.

The invention is not restricted to the above-described embodiments which can be varied in a number of ways within the scope of the claims.

CLAIMS

1. Method for operating a conditional access system for broadcast applications, said conditional access system comprising a number of subscribers, each subscriber having a terminal including a conditional access module and a secure device for storing entitlements, wherein a source signal is encrypted using a first key (C_W), said first key (C_W) being changed at a high rate, said encrypted source signal being broadcasted for receipt by the terminals, wherein entitlement control messages (ECM's) are sent to the secure devices, said ECM's comprising the first keys (C_W) encrypted using a service key (P_T), wherein entitlement management messages (EMM's) are sent to the secure device providing the service key (P_T) required to decrypt encrypted first keys (C_W), wherein a cracked secure device which is used in an unauthorised manner is traced by sending different keys required to obtain the first keys to different terminals or groups of terminals and monitoring the key information provided by a pirate, characterized in that search EMM's are sent to at least a part of the terminals, said search EMM's providing at least the service key (P_T) and a dummy key (P_{D1} or P_{D2}), at least the search EMM's comprising identifiers identifying the keys (P_T and P_{D1} or P_{D2}), wherein first search EMM's with the keys (P_T and P_{D1}) are sent to a first part of the terminals and second search EMM's with the keys (P_T and P_{D2}) are sent to a second part of the terminals, wherein an ECM identifying the service key (P_T) to be used to decrypt the encrypted first key (C_W), is sent to all secure devices just before the first key (C_W) is needed to decrypt the source signal.

2. Method according to claim 1, wherein the encrypted source signal comprises a stream of data packets, wherein successive groups including at least one data packet, are encrypted using successive first keys ($C_{W1}, C_{W2}, \dots, C_{Wi}, \dots, C_{Wn}$), each data packet having a flag indicating the first key (C_{Wi}) to be used for decrypting the data packet, wherein instead of an ECM identifying the service key (P_T) an ECM iden-

tifying a dummy key (P_{D1} or P_{D2}) to be used to decrypt a next encrypted first key (C_{Wi}), is sent to the secure devices of the first and second parts of the terminals, respectively, just before the first key (C_{Wi}) is needed to decrypt the source signal, whereas the data packet is encrypted using the previous first key (C_{Wi-1}).

3. Method according to claim 1 or 2, wherein a set of search EMM's is sent to the terminals, each search EMM providing two keys (P_T and P_{D1} , P_T and P_{D2} , ..., P_T and P_{Dn}).

4. Method according to the preamble of claim 1, wherein a set of search EMM's is sent to at least a part of the terminals, each search EMM of the set comprising a different dummy key (P_D) and each EMM being sent to a different part of the terminals.

5. Method according to claim 3 or 4, wherein the terminals are divided into groups, wherein in a first search step the number of search EMM's of the set of search EMM's corresponds to the number of groups.

6. Method according to the preamble of claim 1, wherein the source signal or the ECM's are encrypted using a multiple-key or secret-sharing cryptographic algorithm having a plurality of different decrypting keys or shares ($C_i; P_i$) required for decrypting the encrypted source signal or ECM's, respectively, wherein said plurality of different decrypting keys or shares ($C_i; P_i$) are sent to at least a part of the terminals such that different terminals or groups of terminals receive different keys or shares ($C_i; P_i$) according to a predetermined distribution.

7. Method according to any one of the preceding claims, wherein the distribution of the terminals in groups of terminals is varied to trace the cracked secure device.

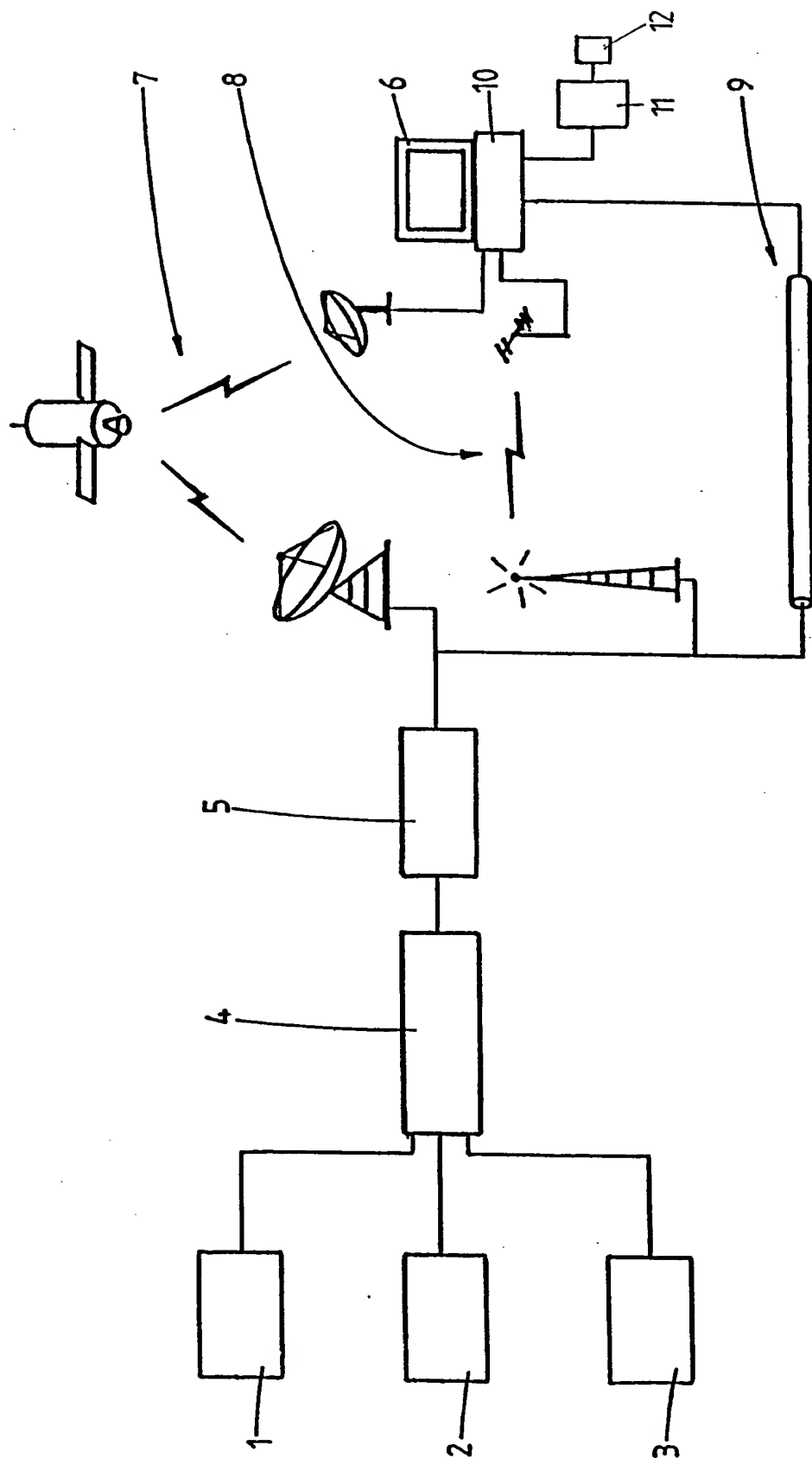


FIG. 1

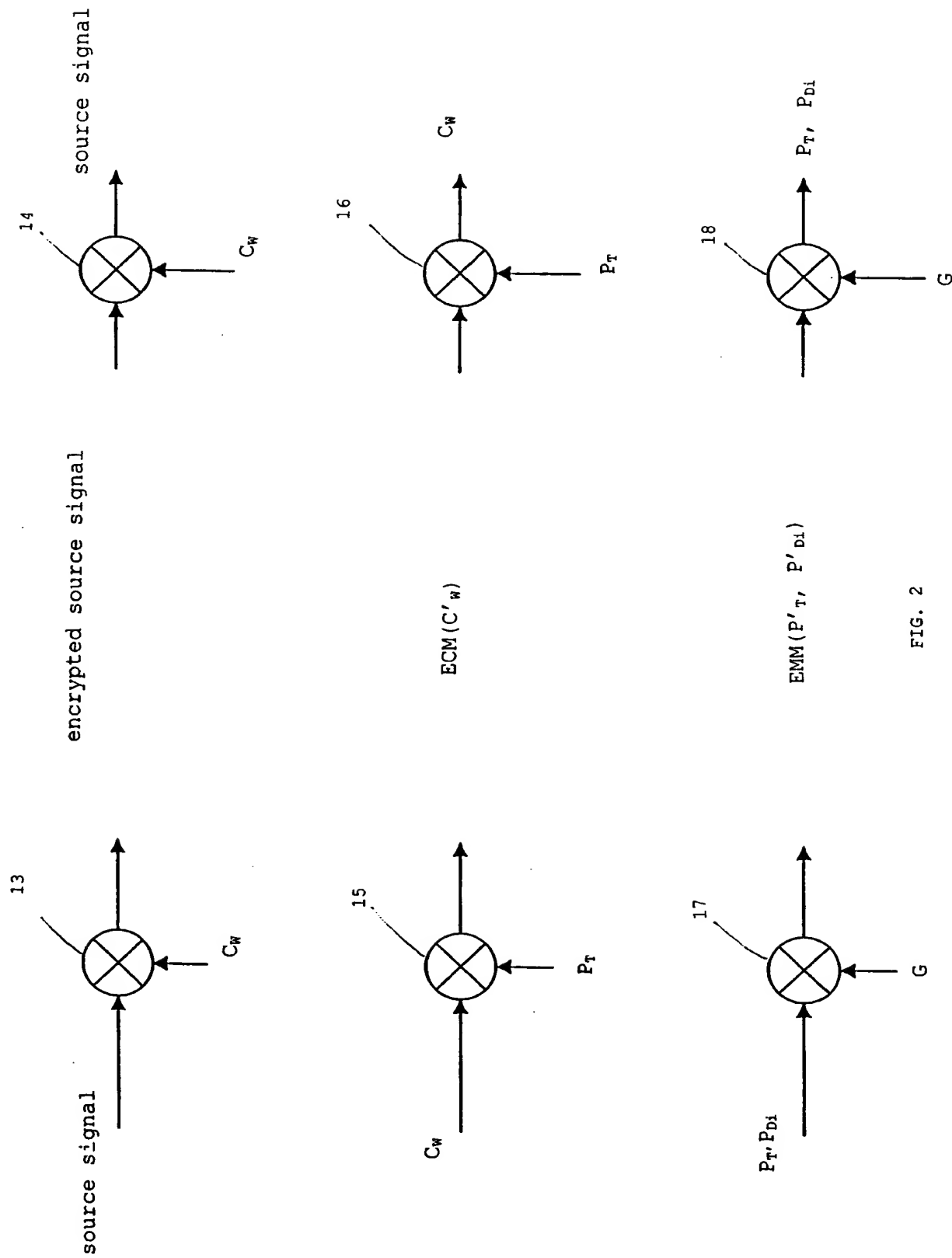


FIG. 2

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
28 June 2001 (28.06.2001)

PCT

(10) International Publication Number
WO 01/47271 A3

(51) International Patent Classification⁷: H04N 7/167, 7/16

(74) Agent: DE VRIES, Johannes, Hendrik, Fokke; De Vries & Metman B.V., Overschiestraat 180, NL-1062 XK Amsterdam (NL).

(21) International Application Number: PCT/EP00/13394

(22) International Filing Date:
18 December 2000 (18.12.2000)

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
99204481.8 22 December 1999 (22.12.1999) EP

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant (*for all designated States except US*): IRDETO ACCESS B.V. [NL/NL]; Jupiterstraat 42, NL-2132 HD Hoofddorp (NL).

(72) Inventors; and

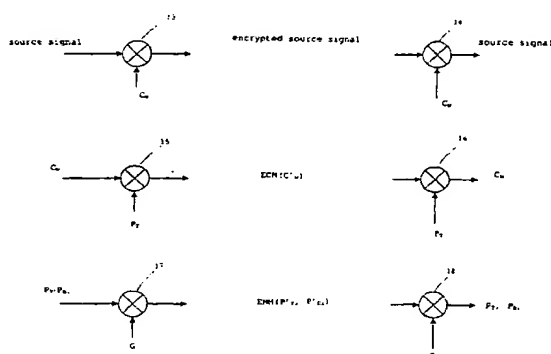
Published:
— with international search report

(75) Inventors/Applicants (*for US only*): WAJS, Andrew, Augustine [GB/NL]; Schotersingel 93, NL-2023 AA Haarlem (NL). FRANSDONK, Robert [NL/NL]; Franz Leharlaan 134, NL-2102 GW Heemstede (NL).

(88) Date of publication of the international search report:
17 January 2002

[Continued on next page]

(54) Title: METHOD FOR OPERATING A CONDITIONAL ACCESS SYSTEM FOR BROADCAST APPLICATIONS



(57) Abstract: In a method for operating a conditional access system for broadcast applications, the conditional access system comprising a number of subscribers and each subscriber having a terminal including a conditional access module and a secure device for storing entitlements, a source signal is encrypted using a first key (C_w). The encrypted source signal is broadcasted for receipt by the terminals, wherein entitlement control messages (ECM's) are sent to the secure devices, the ECM's comprising the first keys (C_w) encrypted using a service key (P_T). Entitlement management messages (EMM's) are sent to the secure devices providing the service key (P_T) required to decrypt encrypted first keys (C_w). A cracked secure device which is used in an unauthorised manner is traced by sending different keys required to obtain the first keys to different terminals or groups of terminals and monitoring the key information provided by a pirate. To this end search EMM's are sent to at least a part of the terminals, the search EMM's providing at least the service key (P_T) and a dummy key (P_{D1} or P_{D2}). At least the search EMM's comprise identifiers identifying the keys (P_T and P_{D1} or P_{D2}), wherein first search EMM's with the keys (P_T and P_{D1}) are sent to a first part of the terminals and second search EMM's with the keys (P_T and P_{D2}) are sent to a second part of the terminals. An ECM identifying the service key (P_T) to be used to decrypt the encrypted first key (C_w), is sent to all secure devices just before the first key (C_w) is needed to decrypt the source signal.

WO 01/47271 A3

WO 01/47271 A3



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP 00/13394

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H04N7/167 H04N7/16

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 19822 A (MICROSOFT CORP) 22 April 1999 (1999-04-22) page 15, line 22 -page 17, line 19; figure 5	6,7
A	EP 0 822 720 A (THOMSON MULTIMEDIA SA) 4 February 1998 (1998-02-04) column 6, line 51 -column 9, line 15; figures 3B-5	1,4,6

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *G* document member of the same patent family

Date of the actual completion of the international search

23 July 2001

Date of mailing of the international search report

27/07/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040. Tx. 31 651 epo nl.
Fax: (+31-70) 340-3016

Authorized officer

Pantelakis, P

INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP 00/13394

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>"FUNCTIONAL MODEL OF A CONDITIONAL ACCESS SYSTEM"</p> <p>EBU REVIEW- TECHNICAL, BE, EUROPEAN BROADCASTING UNION, BRUSSELS, no. 266, 21 December 1995 (1995-12-21), pages 64-77, XP000559450</p> <p>ISSN: 0251-0936</p> <p>page 67, right-hand column, line 24 -page 70, right-hand column, line 8</p>	1,4,6
A	<p>VAN SCHOONEVELD D: "Standardization of conditional access systems for digital pay television"</p> <p>PHILIPS JOURNAL OF RESEARCH, NL, ELSEVIER, AMSTERDAM, vol. 50, no. 1, 1996, pages 217-225, XP004008213</p> <p>ISSN: 0165-5817</p> <p>page 218, line 11 -page 219, line 20</p>	1,4,6

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 00/13394

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9919822	A	22-04-1999	EP 1031206 A	30-08-2000
EP 0822720	A	04-02-1998	FR 2751817 A	30-01-1998
			CN 1175142 A	04-03-1998
			JP 10098462 A	14-04-1998
			US 6091818 A	18-07-2000